

TRANSACTION FORM

In accordance with the requirements of the Capital Markets and Services Act 2007, this application form should not be circulated unless accompanied by prospectus(es) and supplementary prospectus(es) (if any).

Kindly complete the form in CAPITAL LETTERS and tick (✓) whenever appropriate.

For joint account holders, please ensure that the first and joint applicant's details are filled completely.

 Maybank Asset Management Sdn Bhd (MAM)

 Date / /
 Maybank Islamic Asset Management Sdn Bhd (MIAM)

 Account No:

 Investment Type Cash Plan

 EPF Plan

 Regular Savings Plan (RSP)

 Transaction Type Initial/Additional Investment

 Switching

 Withdrawal / Cooling-Off

A. PARTICULARS OF FIRST APPLICANT

 Full Name
 (as per NRIC / Passport No.)
 New NRIC / Passport / Other ID No.
B. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)

 Full Name
 (as per NRIC / Passport No.)
 New NRIC / Passport / Other ID No.
C. PARTICULARS OF NON-INDIVIDUAL

 Name of Company / Institution
 (as per Certificate of Incorporation)
 Business Registration / Certificate of Incorporation No.
D. INITIAL / ADDITIONAL INVESTMENT

Name of Fund(s) & Class	Currency	Sales Charge	Amount	Remarks
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>

Note: Please provide the credit advice / copy of the Telegraphic Transfer (TT) / proof of payment and proof of account ownership together with this transaction form for our reference.

E. REGULAR SAVINGS PLAN (RSP)

Name of Fund(s) & Class	Currency	Sales Charge	Monthly Deduction Amount	Remarks
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> . <input type="text"/> %	<input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="text"/>

Note: For RSP investment, an initial lump sum investment is required. The monthly deduction is scheduled for the 28th of each month. Each successful RSP deduction may be subject to an administration fee charged by the debiting bank.

F. SWITCHING

Switch From			Switch To		Switching Type	
Name of Fund(s) & Class	Currency	Units	Name of Fund(s) & Class	Currency	Partial	Full
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

G. WITHDRAWAL / COOLING-OFF

Name of Fund(s) & Class	Currency	Units	OR	Amount	Withdrawal Type		
_____	<input type="text"/>	_____	_____	_____	<input type="checkbox"/> Cooling-off*	<input type="checkbox"/> Partial*	<input type="checkbox"/> Full
_____	<input type="text"/>	_____	_____	_____	<input type="checkbox"/> Cooling-off*	<input type="checkbox"/> Partial*	<input type="checkbox"/> Full
_____	<input type="text"/>	_____	_____	_____	<input type="checkbox"/> Cooling-off*	<input type="checkbox"/> Partial*	<input type="checkbox"/> Full
_____	<input type="text"/>	_____	_____	_____	<input type="checkbox"/> Cooling-off*	<input type="checkbox"/> Partial*	<input type="checkbox"/> Full
_____	<input type="text"/>	_____	_____	_____	<input type="checkbox"/> Cooling-off*	<input type="checkbox"/> Partial*	<input type="checkbox"/> Full

Please credit the fund(s) into my / our bank account detailed below (Please attach proof of bank details):

Account No. (Note: Third party account is NOT allowed)	<input type="text"/>
Name of Account	<input type="text"/>
Bank Name	<input type="text"/>
Bank Swift Code/Bank Code	<input type="text"/>

*Note: Cooling-off requests are subject to terms and conditions. For Partial Withdrawal, please indicate Unit or Amount only.

H. DECLARATION ON BORROWINGS FOR INVESTMENTS

I/We, hereby declare that:

- I/ We have borrowings for investments and does not require additional approval from BNM for this transaction.
- For **individual**, investment in foreign currency assets in onshore and abroad up to RM1 million per calendar year.
 - For **entities**, investment in foreign currency assets in onshore and abroad up to RM50 million per calendar year.
- I/ We have borrowings for investments and has already obtained the necessary approval (as appended) from BNM for this transaction.
- For **individual**, investment in foreign currency assets in onshore and abroad exceeding RM1 million per calendar year.
 - For **entities**, investment in foreign currency assets in onshore and abroad exceeding RM50 million per calendar year.
- No. I/We do not have any borrowings for investments.

I. RISK DISCLOSURE

Investing with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you taking into account your objectives, attitude to risk and financial circumstances. You should be aware of risk but not limited to the following:

- The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- You should assess your ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- You may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments if unit price fall beyond a certain level. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- Return of investments are not guaranteed and may go up and down.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms & conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

J. PERSONAL ADVICE (TO BE COMPLETED BY INDIVIDUAL INVESTOR)

Note: This section applies to Subscription and Switching of Funds only. Please tick (I) at the appropriate box below:

- Personal Advice Given**
- I acknowledge that I have received Personal Advice from the Consultant and I will invest in the funds that are **WITHIN** my risk profile.
- I acknowledge that I have received Personal Advice from the Consultant, but I chose to invest in the funds that are **NOT WITHIN** my risk profile.
- Personal Advice Not Required**
- I declare that I have made additional top-up investments to my existing funds based on my decision. Personal Advice is not required.
- I declare that I have chosen to invest in funds of my own choice without seeking Personal Advice from the Consultant.
- I acknowledge that there has been no material change in my risk profile, and therefore, Personal Advice is not required.

K. DECLARATION BY INVESTOR

By signing this form, I/we declare that:

- All information disclosed herein is true, complete and accurate. I understand that any misleading, inaccurate or incomplete information may affect the Personal Advice rendered and Maybank Asset Management Berhad shall not be accountable for any Personal Advice provided based on any inaccurate or incomplete information, where applicable.
- I/We have read and understood the content of the Information Memorandum(s)/Prospectus(es) and Supplementary Prospectus(es) (if any) before completing this application form; and
- I am / We are aware of the fees and charges that I/we shall incur directly or indirectly when investing in the fund.
- I / We acknowledge that there has been no material change in the information obtained from the previous suitability assessment.

COLLECTION AND DISCLOSURE OF INFORMATION AND DATA

MAM/MIAM refers to Maybank Asset Management Sdn Bhd / Maybank Islamic Asset Management Sdn Bhd being entities licensed to carry on the business of fund management granted by the Securities Commission Malaysia in accordance with the Capital Markets and Services Act 2007 to me/us. The Maybank Group refers to entities within the Group, its overseas branches as well as parent company (where applicable) and its local and overseas subsidiaries.

I/We acknowledge and agree that: -

- (a) Collection of Data: In addition to the information and data (which may include personal data) provided herein, MAM/MIAM may obtain and collect the required information and data (which may include personal data) from time to time, from any relevant sources, including but not limited to publicly available sources, credit information from the Inland Revenue Authorities, Employees Provident Fund (“EPF”), other financial institutions, Central Credit Reference Information System (CCRIS), SME Credit Bureau, any other credit reference agencies, Maybank Group’s own database and systems, and any other person, individual and / or entity which the required information and data (which may include personal data) in relation to the purpose of the products and/or services offered to me/us, and to carry out any commercial transactions entered into with MAM/MIAM and/or the Maybank Group, as deemed appropriate.
- (b) Processing of Data: For the purpose in relation to the products and/or services offered to me/us, to carry out any commercial transactions entered into with MAM/MIAM and/or the Maybank Group, for any business and/or operational requirements of MAM/MIAM and/or Maybank Group and to exercise MAM/MIAM’s and/or Maybank Group’s rights and obligations, MAM/MIAM may need to process, transfer, store, maintain my/our information and data (which may include personal data) relating to my/our affairs, accounts and business;
- (c) Disclosure to Maybank Group: MAM/MIAM may, in addition to the specific terms and conditions governing the financings, products, and/or services, disclose my/our information and data (which may include personal data) collected and processed as above, to the Maybank Group including overseas, for any business and/or operational requirements of MAM/MIAM and/or Maybank Group, and to exercise MAM/MIAM’s and/or Maybank Group’s rights and obligations;
- (d) Disclosure to External Parties: MAM/MIAM may, in addition to the specific terms and conditions governing the financings, products, and/or services, disclose my/our information and data (which may include personal data) collected and processed as above, to the following parties within and outside Malaysia (where necessary), including but not limited to (“External Parties”):
 - 1) governmental and regulatory bodies such as Bank Negara Malaysia and Securities Commission or to the Credit Bureau established by Bank Negara Malaysia or any other banking or any other regulatory authorities to whom MAM/MIAM/Maybank Group is required or permitted to make such disclosure;
 - 2) Maybank Group’s auditors, legal advisors and other professional advisors, any credit agencies, debt collection agencies, asset tracing specialists, association of banks or similar industry bodies;
 - 3) any actual or potential participant or transferee of MAM/MIAM’s and/or Maybank Group’s rights or obligations related to the financings, products and/or services made available to me/us;
 - 4) any representative, agent, service provider, outsourcing service provider, strategic business partner, financial institution, financial services provider or any other entity that MAM/MIAM or Maybank Group may use or engage with and/or have a contractual relationship with, both in and outside of Malaysia;
 - 5) any security party, any guarantor and/or surety, co-debtor, and where applicable any joint account holder, and/or party making a claim under any third party payment instrument or person who has undertaken liability for the financings, products and/or services made available to me/us;
 - 6) any owner/manager of products and services, where MAM/MIAM or Maybank Group act as agents for such owners/managers;
 - 7) any other financial institution, where applicable, in relation to the products and/or services made available by MAM/MIAM;
 - 8) any person, agency, governmental authority or body pursuant to any laws or regulations;
 - 9) any legal dispute forum (e.g. court, arbitration proceeding, mediation bureau, tribunal) pursuant to any law or regulation, in accordance to any court order or other legal process or in connection with any action, suit or proceeding; and/or
 - 10) EPF, if applicable, information pertaining to my/our account for any applications for withdrawal submitted by me/us and/or if such information and/or verification is sought by EPF, and to entities within Maybank Group to facilitate any of my/our application for financings, products and/or services that I/we have subscribed to (i.e. Will/ Wasiat and/or insurance products) and for verification purposes required by the said entities within Maybank Group.

These External Parties, where permitted, may transfer, store, maintain and/or process my/our information and data within or outside of Malaysia.

Disclosure to Bank Negara Malaysia

I/We agree that MAM/MIAM may be or are required, whether pursuant to law or otherwise, to provide information to Bank Negara Malaysia regarding the financings, products and/or services granted to me/us, or my/our accounts, and/or any commercial transactions entered into with MAM/MIAM and/or Maybank Group. I/We expressly consent to MAM/MIAM disclosing such information to Bank Negara Malaysia (including for the purpose of collation of information from banks regarding their customers), to enable participating banks and other financial institutions to assess the credit worthiness of existing or potential customers. MAM/MIAM will not be liable whether directly or indirectly to me/us or any other persons for such disclosure.

PERSONAL DATA PROTECTION ACT (PDPA) 2010

Maybank Group’s Privacy Statement

- 1) The Maybank Group Privacy Statement which is posted on the MAM/MIAM website at maybank-am.com.my/privacy_policy (“Privacy Statement”) outlines how MAM/MIAM processes, collects, uses, maintains, stores, discloses, secures and retains (including for the purposes of statistical analysis and market research) personal data as defined in the Privacy Statement. I/We agree that the Privacy Statement has been referred to prior to me/us providing MAM/MIAM with any personal data and information and acknowledge that I/we have read, understood and agree to the terms of the Privacy Statement.
- 2) I/We hereby give my/our explicit consent for MAM/MIAM to collect and process my/our sensitive personal data which is required to assess and administer the products or services that I/we have applied for, pursuant to Personal Data Protection Act 2010.

Disclosure of Personal Data of Individuals

- 1) In the event MAM/MIAM requests from time to time that I /we provide the personal data and information of the following persons (where applicable), who might be individuals:
 - a) my/ our guarantor(s);
 - b) my/our any other security party;
 - c) our directors, shareholders, employees, agents, representatives and/or those of any guarantor, security party; or
 - d) any other individual,
 (each an "Individual") in the course of my/our transactions with MAM/MIAM;
- 2) I/we warrant that I/we have full legal authority and have complied with the Personal Data Protection Act 2010 by obtaining the relevant consent from the Individual to furnish his or her personal data to MAM/MIAM (save and except for personal data collected from public domain or official documents) for MAM/MIAM to process such personal data under the terms and conditions governing this application form and the Privacy Statement. I/We further undertake to inform and furnish the Privacy Statement to the Individual before providing MAM/MIAM with the Individual's personal data and shall, if requested, immediately provide evidence of my/our compliance with the above to MAM/MIAM.

Declaration for Promotion and Direct Marketing (where applicable)

With regards to promotions and direct marketing of product and services: -

Yes, I/we expressly agree to MAM/MIAM/Maybank Group and/or Other Entities disclosing, sharing and processing my/our information and data (which may include my/our personal data) and contacting me/us for promotions and direct marketing of products and services.

No, I/we do not agree to MAM/MIAM/Maybank Group and/or Other Entities disclosing, sharing and processing my/our information and data (which may include my/our personal data) and contacting me/us for promotions and direct marketing of products and services.

Revocation for Consent

I/We understand that I/we may at any time revoke my/our consent provided above insofar as the revocation would not impact the provisions of the services/products applied for or MAM/MIAM's compliance to legal and regulatory requirements.

Acknowledgment

I/We acknowledge and declare that I/we have read, understood and agree to the above terms and conditions and am/are expressly consenting to and authorising MAM/MIAM and Maybank Group to act in accordance with the above terms and conditions.

First Applicant's Signature

Name :

Date : / |

Joint Applicant's Signature

Name :

Date : / |

L. IMPORTANT NOTE

Please be aware that Maybank Asset Management Sdn Bhd and its distributors, including individual consultants and sales representatives, are **prohibited from accepting cash payments** for the purchase of fund units. All investment payments must be made via telegraphic transfer or cheque payable to Maybank Asset Management Sdn Bhd only.

First Applicant's Signature /

*Authorised Signatory

Name :

Date : / |

**Authorised signatory(ies) as per Board Resolution with Maybank*

Joint Applicant's Signature /

*Authorised Signatory

Name :

Date : / |

Affix Seal or Company Stamp Here
(Applicable for Institutional Applicant only)

M. PERSONAL ADVICE (TO BE COMPLETED BY CONSULTANT)

Please tick (✓) either one of the following:

Recommended Funds Matching Investor's Risk Profile

- a. Funds selection is based on the investor's investment objectives, preferences and risk profile as gathered from the Suitability Assessment Form.
- b. The recommended funds are matching the investor's risk profile and investor agreed to invest in the recommended funds.
- c. Additional Comments (if any):

No Suitable Investment Products/Funds

- a. There are no suitable investment products or funds matching the investor's investment objectives, preferences and risk profile based on the information gathered in the Suitability Assessment Form.
- b. Investor would like to subscribe other funds based on their own decisions or seek financial advice from other sources.
- c. Additional comments (if any):

No Personal Advice Given

Reason for not giving Personal Advice. Please tick (✓), where appropriate.

- a. The investor chose to invest in funds of their own choice without seeking Personal Advice.
- b. Investor decided to make additional top-up investment to their existing fund(s).
- c. The selected fund(s) is or are matching the current investor's risk profile.
- d. Others. Please specify:

I hereby confirm the information provided above is true and complete and to the best of my knowledge based on details obtained from the investor's suitability assessment.

Signature : _____ PF No. / UTC/MR Code : _____
Name : _____ Date : [] [] / [] [] / [] [] [] []